

Beijing, August 2005

Flavour Dynamics &  $CP$  in the SM\*:  
A Tale of Great Successes,  
Little Understanding -- and Promise for the  
Future!

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Presentation of my lecture series guided by two general predictions

- While the case for New Physics at  $\sim$  TeV scale is as strong as ever, we cannot count on NP having a massive impact on B decays.
- I will emphasize general principles for designing strategies over specific & detailed examples

The central goal for this school as for any --  
we want you to do your own thinking  
rather than `out-source' it!

→ raise/ask questions !!!

# Outline of Lectures

**I.** Introduction of the SM\* -- Renormalizability, Neutral Currents, Mass Generation, GIM Mechanism, ~~CP~~ a la CKM

**II.** CKM Phenomenology

**III.** ~~CP~~ in B Decays -- the 'Expected' Triumph of a Peculiar Theory

**IV.** Adding High Accuracy to High Sensitivity

**V.** "I have come to praise Charm, not bury it!"

**VI.** Searching for a New Paradigm 2005 & Beyond  
Following Samuel Beckett's Dictum

## Lecture I (6)

Introduction of the SM\* --

Renormalizability, Neutral Currents, Mass Generation, GIM  
Mechanism, ~~CP~~ a la CKM

A famous coach once declared:

"Winning is not the greatest thing -- it is the only thing!"

The 'SM\*' =

$$\boxed{SU(3)_C} \times \boxed{SU(2)_L \times U(1)} + \boxed{CKM + PMNS}$$

the 'only' thing

not even  
the greatest thing

an accidental miracle

general  
considerations

renormalizability+  
Adler anomaly + data

data

## The Menu for Lecture I

I QCD -- the 'Only' Thing

II  $SU(2)_L \times U(1)$  -- not even the Greatest Thing

- ☹ Higgs-Kibble mechanism
- ☹ Adler-Bell-Jackiw anomaly
- ☹ charge quantization
- ☹ 'partial' unification
- 😊 but it works!

III CKM -- an 'Accidental' Miracle

- ☹ family structure & replication
- ☹ GIM mechanism
- ☹ ~~CP~~ hard & explicit
- 😊 but it works miraculously!

# I QCD -- the 'Only' Thing

## 1.1 'Derivation' of QCD

- chiral symmetry ( $\pi$  Goldstone bosons, soft  $\pi$  theorems, etc.)
  - need vector couplings for gluons
- $R(e^+e^- \rightarrow \text{had.}), \pi^0 \rightarrow \gamma\gamma$ , etc. etc.
  - need three colours
- unbroken symmetry: local gauge theory only known way to couple to  $m=0, j=1$  fields in Lorentz invariant way:  $4 \neq 2!$
- confinement  $\rightarrow$  asymptotic freedom
  - non-abelian gauge theory

 QCD -- unique choice among local quantum field theories

## 1.2 `Fly-in-the-ointment': the Strong CP Problem of QCD

QCD does **not automatically** conserve **P** & **T** & **CP**:

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{QCD}} + \theta (g_S^2/32\pi^2) G_{\mu\nu} \tilde{G}^{\mu\nu}, \quad \tilde{G}_{\mu\nu} = (1/2)i\epsilon_{\mu\nu\rho\sigma} G^{\rho\sigma}$$

$$G_{\mu\nu} \tilde{G}^{\mu\nu} \xrightarrow{\boxed{P, T}} -G_{\mu\nu} \tilde{G}^{\mu\nu}$$

flavour **diagonal**  $\implies$  EDM of neutron

$$d_N \implies \theta < 10^{-9} \text{ `unnatural'!}$$

Peccei-Quinn symmetry would make it natural

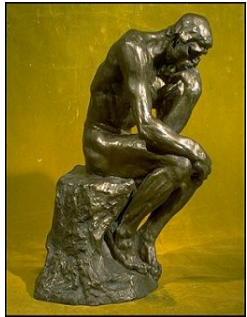
❖ requires **existence of axions** -- which have not been observed yet despite great efforts.



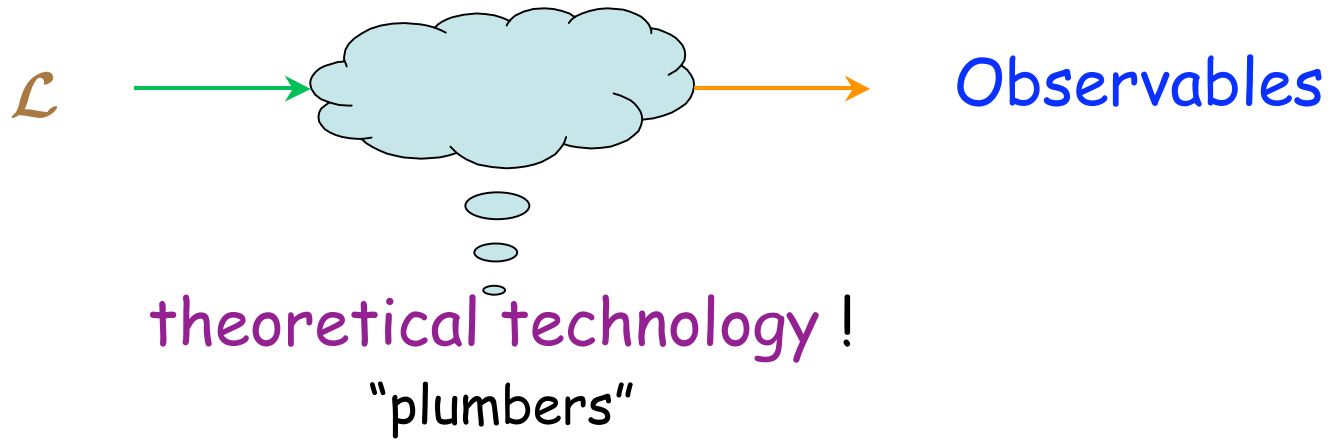
# 1.3 Theoretical Technologies of QCD

'theory' = Lagrangian  $\mathcal{L}$

yet



"thinkers"



perturbation theory  
chiral perturbation theory  
QCD sum rules

heavy quark expansions

no **universal** claim of validity

i.e., all 'protestant' in nature

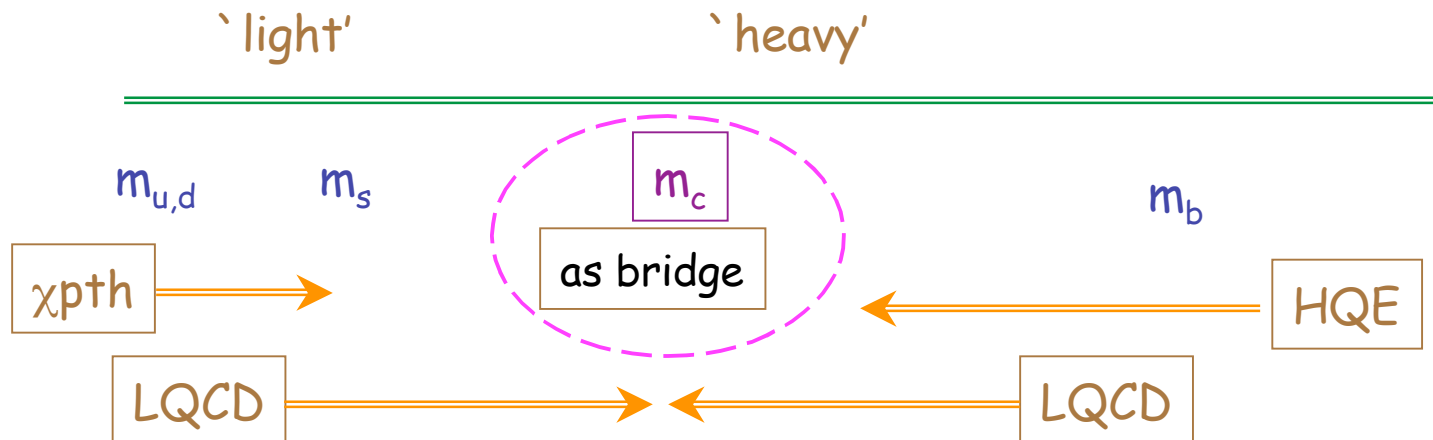
describe later

∃ only 1 `catholic' \* technology -- lattice gauge theory

\* `catholic' in substance, `protestant' in sociology!

## Lattice gauge theory

- can be applied to **nonperturb.** dynamics in **all** domains -- with the possible **practical** exception of strong FSI --,
- with a **theoretical uncertainty** that can be reduced in a **systematic** way



## II $SU(2)_L \times U(1)$ -- not even the Greatest Thing

### 2.1 Prehistory

- 4-fermion-coupling
  - ❖ ~~unitarity~~  $\sim 250 \text{ GeV}$
  - ❖ non-renormalizable
- intermediate vector bosons (IVB) soften problem  
need massive charged vector bosons  
longitudinal  $W$  create problem

propagator  $\frac{g_{\mu\nu} - \boxed{k_\mu k_\nu / M_W^2}}{k^2 - M_W^2}$

→ need non-abelian gauge theory

$[J^+, J^-] \propto J^0$  , i.e. requires neutral currents (NC)

## 2.2 Strong points

renormalizability (+unitarity) severely restrict possible theories (problem of mass -- later)

☺ single  $SU(2)_L \implies$  weak universality due to self coupling of gauge bosons

☺ predicted

- existence of NC parametrized by 1 parameter  $\sin\theta_W$
- $M_W, M_Z$

☺ most remarkable: combines

- QED -- pure V coupling (P  $\checkmark$ ) with  $m_\gamma = 0$  --
- with weak interactions -- V-A CC coupling ( $\cancel{P}$  maximal) & V,A NC coupling  $M_Z > M_W \neq 0$

## 2.3 Generating Mass

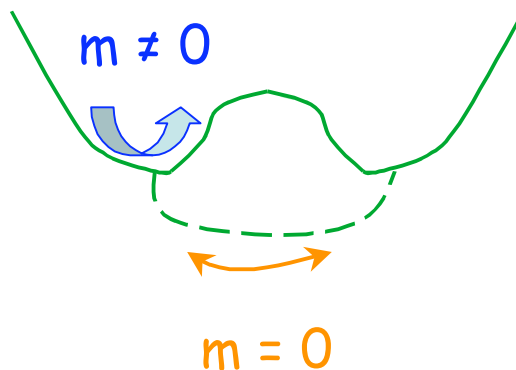
### Higgs-Brout-Englert-Guralnik-Hagen-Kibble

$M \neq 0, J=1$ : 3 phys. d.o.f vs. 4 components

$$\Leftrightarrow k_\mu s_\mu = 0 \quad \checkmark$$

$M=0, J=1$ : 2 phys. d.o.f vs. 4 components

Spontaneous realization of a symmetry (SSB)



$m=0$  scalar transmogrified into longitudinal component of VB



non-pert. quantity  $\langle 0 | \phi | 0 \rangle$

SM:

$M_W, M_Z$  ← SU(2) triplet: no!  
 $M_W, M_Z$  ← SU(2) doublet: yes!

$m_f$  ← SU(2) doublet  
 $m_f \propto g_f^{\text{Yuk}} \langle 0 | \phi | 0 \rangle$

1 complex doublet scalar field

$\Phi = (\phi^0_{1,2})$ ;  $\langle \phi^0 \rangle \neq 0$ ,  $\langle \phi^\pm \rangle = 0$

$\phi^+ \rightarrow W^+_{\text{long}}$

$\phi^- \rightarrow W^-_{\text{long}}$

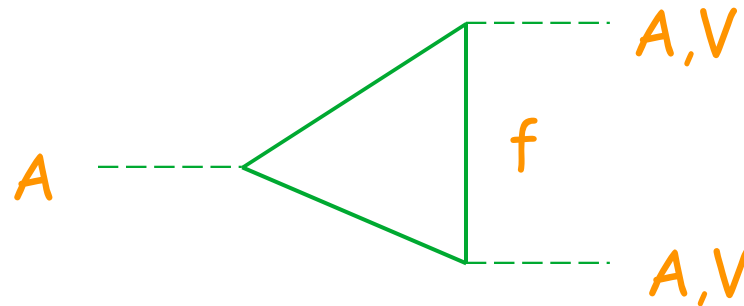
$\phi^0_1 \rightarrow Z^0_{\text{long}}$

$\phi^0_2 \rightarrow H^0_{\text{phys}}$

Single VEV

## 2.4 Triangle Anomaly

## Adler-Bell-Jackiw



'quantum anomaly':

classical conservation law violated due to quantum correction

$$\partial_\mu J_\mu^5 \neq 0 \text{ even for } m_f=0$$

- destroys renormalizability
- can be neutralized within SM by

$$\sum_f Q_f = 0, \text{ } f = \text{fermions within given family}$$

lepton-quark connection

## 2.5 Theoretical Deficiencies

With all these amazing successes --  
what is the fuss, why not be happy?

☹  $SU(2)_L \times U(1)$  -- **partial** unification only

☹ **HBEGHK** mechanism:

• only 'engineering' solution -- at least till Higgs is found

• scalar couplings 'unnatural' (quadratic mass renormal. !)

➔ justification for **LHC** & motivation for **ILC**

☹ maximal  $P$  (for CC) 'par ordre du mufti'

☹  $m_\nu = 0$  (up to Majorana) 'par ordre du mufti'

☹ charge quantization

why  $Q_e = 3 Q_d$  ?

... and then the whole issue of family replication!



# III CKM -- an 'Accidental' Miracle

## 3.1 Overview

3 families

$$\begin{bmatrix} \nu_e \\ e \end{bmatrix} \quad \begin{bmatrix} u \\ d \end{bmatrix}$$

$$\begin{bmatrix} \nu_\mu \\ \mu \end{bmatrix} \quad \begin{bmatrix} c \\ s \end{bmatrix}$$

$$\begin{bmatrix} \nu_\tau \\ \tau \end{bmatrix} \quad \begin{bmatrix} t \\ b \end{bmatrix}$$

- Why  $> 1$  family? Why 3? ?? M theory ??
- Is  $N_{\text{fam}}$  a fundamental quantity?

Evidence for us being 'dense'/'blind' is even stronger!

$$m_t \sim 175 \text{ GeV}$$

$$m_b \sim 4.6 \text{ GeV}$$

$M_W, M_Z$

$$m_c \sim 1.2 \text{ GeV}$$

$$m_s \sim 0.1 \text{ GeV}$$

$\Lambda_{\text{QCD}}$

$$m_\tau \sim 1.7 \text{ GeV}$$

$$m_\mu \sim 0.1 \text{ GeV}$$

$$m_u \sim \text{a few MeV}$$

$$m_d \sim \text{a few more MeV}$$

$$m_e \sim 0.5 \text{ MeV}$$

$$m_{\nu(\tau)} < 18.2 \text{ MeV}$$

$$m_{\nu(\mu)} < 0.19 \text{ MeV}$$

$$m_{\nu(e)} < 3 \text{ eV}$$

direct bounds  
from kinematics

$$\Delta m^2 \sim O(10^{-3} \text{ eV}^2), O(10^{-4} \text{ eV}^2)$$

$\nu$  oscillations

## 3.2 Quark Masses, GIM & CP

there is more:

$$\begin{array}{c} \text{U} \\ \left( \begin{array}{c} u \\ c \\ t \end{array} \right) \end{array} \qquad \begin{array}{c} \text{D} \\ \left( \begin{array}{c} d \\ s \\ b \end{array} \right) \end{array}$$

mass eigenstates

≠

interaction eigenstates

$$\mathcal{L}_{CC} \propto \bar{g}_W U_L^F \gamma_\mu D_L^F W_\mu, \quad \mathcal{L}_{NC}^{U[D]} \propto \bar{g}_Z U[D]_L^F \gamma_\mu U[D]_L^F Z_\mu$$

$$\mathcal{L}_M \propto U_L^F m_U U_R^F + D_L^F m_D D_R^F \propto U_L^F g_U^Y U_R^F \Phi_U + D_L^F g_D^Y D_R^F \Phi_D$$

$$m_{\dots} = \langle \Phi_{\dots} \rangle g_{\dots}^Y$$

$m_{\dots}, g_{\dots}^Y$  non-diagonal in general, diagonalized by unitary  $J_{U,L/R}, J_{D,L/R}$

👉 EV's of  $m_{U,D} \rightarrow$  physical masses of U, D

👉  $\mathcal{L}_{NC}^{U[D]} \rightarrow U[D]_L^m \gamma_\mu U[D]_L^m Z_\mu$

👉  $\mathcal{L}_{CC} \rightarrow U_L^m \gamma_\mu V_{CKM} D_L^m W_\mu$

$$V_{CKM} = J_{U,L} J_{D,L}^*$$

- weak *neutral* currents couplings **un**affected  
     `generalized GIM' mechanism

$$V_{CKM} = \mathbf{J}_{U,L} \mathbf{J}_{D,L}^*$$

$$V_{CKM} = \mathbf{J}_{U,L} \mathbf{J}_{D,L}^* \text{ nontrivial}$$

(unless high scale dynamics enforces **alignment** between U & D)

- weak *charged* currents couplings **affected**

N families:      N x N matrix that is **unitary** due to 2 facts

(i)  $\mathbf{J}_{U,L/R}, \mathbf{J}_{D,L/R}$  unitary by construction

(ii)  $\mathcal{L}_{CC} \propto \bar{g}_W \mathbf{U}_L^F \gamma_\mu \mathbf{D}_L^F W_\mu$

SM: **single** SU(2) group

- ↔ gauge coupling  $\bar{g}_W$  of W to fermions controlled by **single self-coupling** of W's

- `weak universality'       $|V(ud)|^2 + |V(us)|^2 + |V(ub)|^2 = 1$  etc. <sup>20</sup>

## Can weak universality be violated?

Yes -- it can

✎ horizontal gauge interactions = FICHNC

✎ couple one separate  $SU(2)_L$  to each family

-- i.e. gauge group  $SU(2)_L^1 \times SU(2)_L^2 \times SU(2)_L^3$  -- while allowing those three sets of gauge bosons to mix; the mass eigenstates of these  $W_L^i$  can be such that the lightest couple to all families with universal strength

➔ weak universality only approximate

➔ induce FICHNC ... & EDM's

## N x N unitary matrix

- N (weak) universality relations

$$\sum_j |V(ij)|^2 = 1, \quad i=1, \dots, N$$

important -- yet insensitive to complex phases

→ tells us **nothing directly** about ~~CP~~

- $N^2 - N$  orthogonality relation

$$\sum_j V^*(ij)V(jk) = 0, \quad i \neq k$$

very sensitive to complex phases

→ tells us **directly** about ~~CP~~

Caveat:

- ❖ the phase of a fermion field is **not always** an **observable!**

## Observable parameters of $N \times N$ unitary matrix

- $N \times N$  complex matrix:  $2N^2$  real parameters
  - unitary reduces it to  $N^2$  independent real parameters
  - phases of quark fields can be rotated freely
    - $2N-1$  phases can be removed (1 overall phase irrelevant)
    - $(N-1)^2$  independent physical parameters
  - $N \times N$  orthogonal matrix:  $N_{\text{angles}} = 1/2 N(N-1)$ 
    - $N \times N$  unitary matrix:  $N_{\text{physical phases}} = 1/2(N-1)(N-2)$
  - $N=2$ : 1 angle -- Cabibbo angle -- & 0 phases
  - $N=3$ : 3 angles & 1 phase
  - $N=4$ : 6 angles & 3 phases
- } Kobayashi  
&  
Maskawa

## A graphic representation

N=2 case:

- 2 **weak universality** relations:

$$|V(ud)|^2 + |V(us)|^2 = 1$$

$$|V(cd)|^2 + |V(cs)|^2 = 1$$

- 2 **orthogonality** relations:

$$V(ud)^*V(us) + V(cd)^*V(cs) = 0$$

$$V(us)^*V(ud) + V(cs)^*V(cd) = 0$$

→ no relative phase

→ no ~~CP~~ with 2 families!





N=3 case:

- 3 **weak universality** relations:

$$|V(ud)|^2 + |V(us)|^2 + |V(ub)|^2 = 1$$

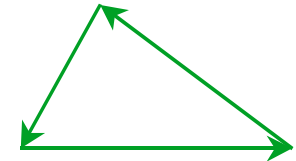
$$|V(cd)|^2 + |V(cs)|^2 + |V(cb)|^2 = 1$$

$$|V(td)|^2 + |V(ts)|^2 + |V(tb)|^2 = 1$$

- 6 **orthogonality** relations

$$\sum_{j=1}^{j=3} V^*(ij)V(jk) = 0, \quad i \neq k$$

→ **triangle** relations in the complex plane

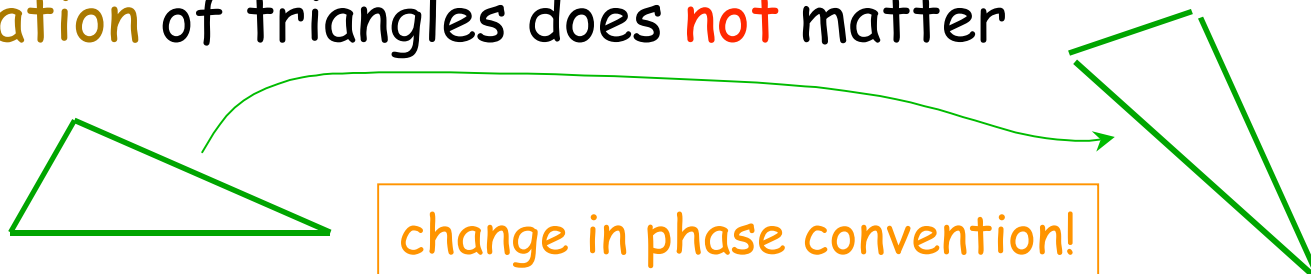


• 6 triangles have **equal area** ← single complex phase!  
area( every triangle ) = 1/2 J

**Jarlskog** variable  $J = \text{Im}V(ud)V(cs)V^*(us)V^*(cd)$

if  $J = 0 \Rightarrow$  **no CP**

• **orientation** of triangles does **not** matter



❖ if any pair of up- or down-type quarks were mass degenerate, then any linear combination of those two is a mass eigenstate as well, and one can remove their 'CKM' parameters

→ up- & down-type quarks have to possess **different** masses to allow for ~~CP~~ with 3 families

Compact representation:

$$iC = [m_U m_U^*, m_D m_D^*]$$

$$\det C = -2J(m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_u^2 - m_t^2)(m_b^2 - m_s^2)(m_s^2 - m_d^2)(m_d^2 - m_b^2)$$

need **det C ≠ 0** for ~~CP~~

- ❖ CKM implementation of ~~CP~~ **irrespective** of mass generation
- ❖ with SM mass generation & 1 VEV ~~CP~~ in Yukawa coupling, i.e. **hard CP!**

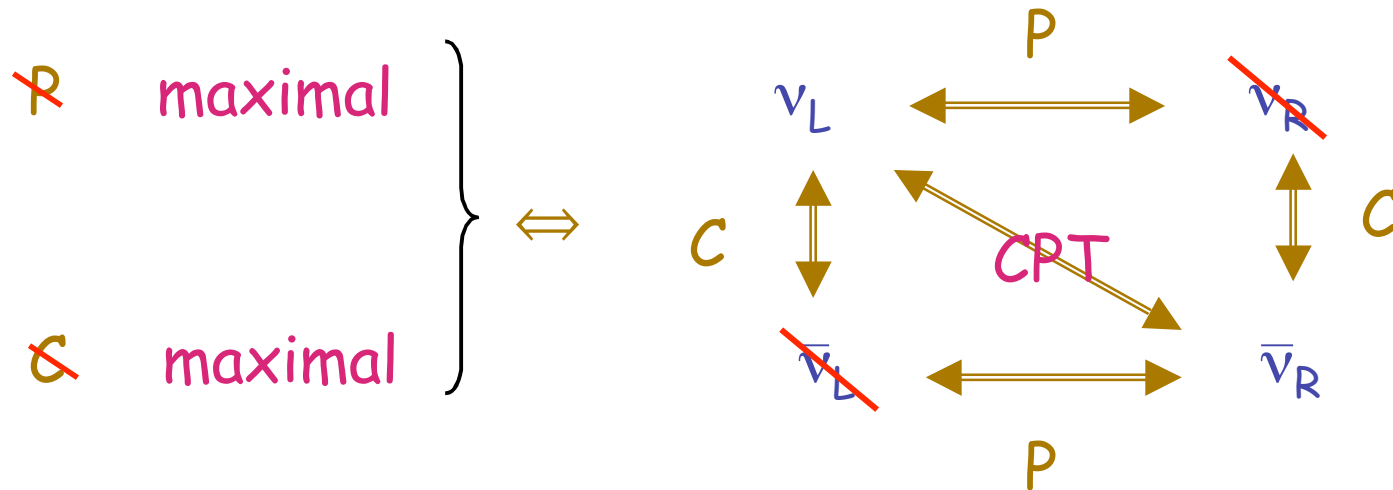
maximal ~~CP~~?

$$V_{CKM} = \begin{array}{|c|c|c|} \hline V(ud) & V(us) & V(ub) \\ \hline V(cd) & V(cs) & V(cb) \\ \hline V(td) & V(ts) & V(tb) \\ \hline \end{array}$$

$$= \begin{array}{|c|c|c|} \hline c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ \hline -s_{12}c_{23}-c_{12}s_{23}s_{13}e^{-i\delta} & c_{12}c_{23}-s_{12}s_{23}s_{13}e^{-i\delta} & s_{23}c_{13} \\ \hline s_{12}s_{23}-c_{12}c_{23}s_{13}e^{-i\delta} & -c_{12}s_{23}-s_{12}c_{23}s_{13}e^{-i\delta} & c_{23}c_{13} \\ \hline \end{array}$$

$\delta = 90^\circ$  : `maximal' ~~CP~~?

☹ change phase convention for quark fields --  
phases of fermions like the `Scarlet Pimpernel'!



i.e., CPT already enforces presence of  $\bar{\nu}_R$

`no future generation'

`man without a future -- woman without a past'

## Historical Asides

- ❑ ~~CP~~ discovered in '64 through  $K_L \rightarrow \pi \pi$  -- yet it was not realized that dynamics known at that time could not generate it.
  - ❖ Maybe forgivable since no renormalizable theory for weak interactions yet: when worrying about infinities one can be excused to forget about  $BR(K_L \rightarrow \pi \pi) \approx 2.2 \times 10^{-3}$
  - ❖ Yet even after arrival of renormalizable GSW model its phenomenological incompleteness was not realized for a few years -- till the '73 paper by KM! (short comment on it by Mohapatra in '72)
  - ❖ In addition to  $> 2$  family source for ~~CP~~ KM in their '73 paper list also non-minimal Higgs dynamics & right-handed currents

- Being at Nagoya University **K&M** had a 'competitive edge'/'insider knowledge'!

for most places outside Nagoya

- 3 quarks: u,d,s

- quarks mathematical entities

- typical attitude: "Nature is smarter than Shelly (Glashow) -- she can do without charm"

Background: in Cabibbo theory

$$J_{\dots}^{CC} \propto \cos \theta_C d_{L\gamma\dots} u_L + \sin \theta_C s_{L\gamma\dots} u_L$$

→  $[J_{\dots}^+, J_{\dots}^-] \propto \dots + \sin \theta_C s_{L\gamma\dots} d_L$

☹️ **strangeness (flavour) changing NC!**

- some even suggested the observed huge suppression of strangeness (flavour) changing NC implied a similar reduction for all NC

observation of

❑ DIS

❑  $e^+e^- \rightarrow$  hadrons

❑  $J/\psi$

} caused a huge paradigm shift!

`Genius loci' of Nagoya University

👉 home of the Sakata School

➔ quarks readily accepted as physical objects

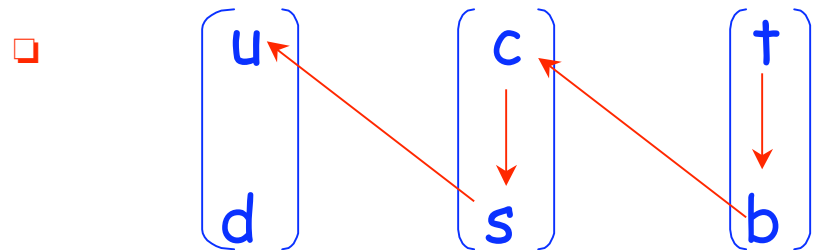
👉 home of Prof. Niu -- an expert in cosmic ray experiments with emulsions:

in '71 Niu reported a candidate for charm seen

➔ 2 complete families were `known'

### 3.3 Preview of CKM Theory

- $V_{CKM}$  unitary as long as CC described by a single  $SU(2)_L$



expectation:

intra-  $\gg$  inter-family coupl.

inter-fam.  $\sim V(us) = \sin\theta_c \sim |V(cb)|$


would imply  $\tau(B) \sim \text{few} \times 10^{-14} \text{ sec}$


yet actually observed:  $\tau(B) \sim 10^{-12} \text{ sec}$

$\rightarrow |V(cb)| \sim \lambda^2, \lambda = \sin\theta_c$



$$|V_{CKM}| \sim \begin{pmatrix} 1 & \lambda & \lambda^3 \\ \lambda & 1 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix}$$

 the CKM matrix -- with this apparently highly non-accidental pattern -- describes successfully very diverse processes on vastly different scales (see later)

 Schlaeft ein Lied in allen Dingen,  
Die da traeumen fort und fort,  
Und die Welt hebt an zu singen,  
Findst Du nur das Zauberwort.

There sleeps a song in all things  
That dream on and on,  
And the world will start to sing,  
If only you find the magic word.

J. v. Eichendorff

## IV Summary of Lecture I

The `SM \*' =  $SU(3)_C \times SU(2)_L \times U(1)$  + CKM + PMNS

- $SU(3)_C$  -- the unique solution among local field theories for the strong interactions
- $SU(2)_L \times U(1)$  --
  - gauge structure restricted by renormalizability & data
  - with `theoretical engineering' for generating masses for the gauge bosons and
  - quite a whiff of incompleteness

## □ CKM dynamics

- `all it does, it works in describing electroweak decays'
- for no understood deeper reason
- yet the strong suspicion that such deeper reason has to exist

$$|V_{CKM}| \sim \begin{pmatrix} 1 & \lambda & \lambda^3 \\ \lambda & 1 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix}$$

- it is intrinsically connected with central mysteries of the SM: family replication and fermion mass generation